

Appendix C

Planning Framework Requirements–Governance Forward Plan



ICB Core Outputs

Governance Roadmap

Phase 1 – Setting the foundations

Progress and Assurance against Phase 1 requirements – Completion of ICB Self-assessment (Appendix B)

First submission (does not include narrative plans) numerical plans

3-year revenue and 4-year capital plan return
3-year workforce return
3-year operational performance and activity return
Integrated planning template showing triangulation and alignment of plans

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Board assurance statements confirming oversight of process

19 Dec First Submission

Phase 2– Plan Development

Full Plan Submission

Refreshed numerical plans 3-year revenue and 4-year capital plan return • updated 3-year workforce return • updated 3-year operational performance and activity return
integrated planning template showing triangulation and alignment of plans

5-year strategic commissioning narrative plan (to encompass the statutory requirements for JFPs)

Updated board assurance statements confirming oversight and endorsement of the totality of the plans

Early February – Final plans and BAS

Plan acceptance

Plans will be assured by NHS England regional teams

ICB will respond to outcome of plan assurance and prepare to implement plans

Neighbourhood health plan requirements will be set out in the Neighbourhood Health Framework and these will not need to be submitted to NHS England as part of this planning round.

July-Sept	Oct	November				December				January				February				March
		W1	W2	W3	W4	W1	W2	W3	W4	W1	W2	W3	W4	W1	W2	W3	W4	

Development and Delivery:
2-weekly POB

Exec Com 18/19 Nov
Phase 1 and First draft progress

Exec Com 2 & 9 Dec
Check and Challenge on plans
Focus on SCF

Check and challenge sessions
Stress-test plans

Exec Com 20 Jan
Review prior to board on 22 Jan

Weekly ExecCo – focus to be determined

Assurance through committees

21 Nov – F&CC
Assurance against Early draft of 3 year plans and draft board assurance statement

19 Dec – F&CC
Assurance of first draft plan submission
approval of any variations

7 Jan Q&OC
Assurance of strategies and plans

14 Jan P&CC
Assurance of strategies and plans

30 Jan – F&CC approval of any variations to first plan submission (invite Chair and CEO)

20 Feb– F&CC
provide update on any assurances /actions received from NHSE on numerical plans

Board Involvement and Approval

25 Sept board in public setting out planning framework and operational governance arrangements

30 Oct Board Seminar– Strategic Commissioning Framework

27 Nov Board in public: Progress against core planning requirements Include Phase 1 Self-assessment / maturity matrix

11 December Board Engagement Session – review and test numerical plans and board assurance statements

18 Dec Board Seminar - Sign-off first iteration and Board assurance statements
Planning Framework
SCF / development of strategies

Thursday 22 January In public - Update on Progress against core planning requirements / draft submission

TBC Extra-ordinary Board Sign-off final plan and Board assurance statements (or delegate any remaining actions to

19 March Board Meeting in public Full plans and 5-year commissioning plan to be approved/published